participation in multiplying identity categories for the salesman within generally available boundaries.

- Schenkein (1971); see Chap. 6 particularly. 11. The phenomenon of "identity multiplication" is considered more elaborately in
- 12. I am borrowing the term "side sequence" from (iail Jefferson (1972).
- Wulbert have certainly found their way into the previous pages. 13. Some of the many benefits I enjoy from knowing Sheila Klatzky and Roland

### chapter 3

# NOTES ON THE Compliment Responses

ANITA POMERANTZ

MULTIPLE CONSTRAINTS

**CO-OPERATION OF** 

### INTRODUCTION

DEAR ABBY:

If I say, "Gee, Hon, you look nice in that dress," My wife has a habit of down-grading sincere

It's just a rag my sister gave me." her reply is likely to be, "Do you really think so?

Or if I tell her she did a great job cleaning up the house, her response might be, "Well, I guess you haven't seen the kids' room." I find it hard to understand why she can't accept

PERPLEXED

hurts me a little. How do you explain it. Abby?

a compliment without putting herself down. And it

DEAR PERPLEXED:

Your wife lacks self-confidence and feels some-

"Perplexed" opens his letter with a description of something his wife purportedly does, namely, she downgrades sincere compliments. The description of the wife's downgrading of compliments is shaped into a description of how that behavior affects him. The shaping of her behavior as a puzzle for him is illustrated in the selection of the closing identification, "Perplexed," which purportedly refers to what the writer is relative to his wife's responses to compliments.

The problems that the writer describes are problems which are presented to Abby for solution. The writer claims that he does not understand his wife's responses to compliments ("I find it hard to understand why . . .") and that he is hurt by those responses ("And it hurts me a little "). The problem behavior, i.e., that which he finds "hard to understand" and which "hurts" him, is his wife's not accepting (his) compliments.

Abby, in turn, treats the husband's request for an explanation of the problem behavior as legitimate by proffering, right off, an explanation: "Your wife lacks self-confidence . . . ." With that answer, the wife's rejecting of compliments is turned into a symptom, or manifestation, of her low self-esteem.

Within both the husband's letter and Abby's response, the problem behavior is referred to in terms which suggest the nonattainment of the preferred response—accepting compliments, for example, "... can't accept a compliment without putting herself down," ... embarrassed to accept praise," ... difficulty accepting compliments" (emphasis added).

The problem behavior, then, is behavior which does not conform to a model or standard. Deviations from that model, that is, the *not* accepting of compliments, may constitute noticeable, reportable, puzzling, troublesome, and symptomatic phenomena.<sup>3</sup>

Yet it is also known that compliments are very regularly either rejected, downgraded, or only qualifiedly accepted. As Abby suggests, "Most people have difficulty accepting compliments with grace."

To examine compliment responses, then, is to examine behavior where actual performances are often discrepant from ideal or preferred performances, and where actual performances are often reported as, at least, somewhat problematic.

# RESEARCH PROBLEM

COMPLIMENT RESPONSES

A large proportion of compliment responses deviate from the model response of accepting compliments. A close examination of those responses reveals that while rejections are frequent, they are not performed as preferred seconds. While various sequential features suggest that determination, one indication is that most compliment responses he somewhere in between (not at the polar extremes of) acceptances and agreements on the one hand and rejections and disagreements on the other.

In this chapter an organization will be described which accounts, in part, for the "in between-ness" of compliment responses. That organization involves the co-operation of conflicting preferences on compliment responses, that is, preferences which are concurrently relevant but not concurrently satisfiable.<sup>5</sup>

Compliment responses will be seen to be subject to separate systems of constraints. One system which is relevant is that of recipients' agreements or disagreements with prior compliments. Responses to compliments often find expression as second assessments which are formed as agreements or disagreements with the prior compliments. In the agreement/disagreement system, agreements are generally performed as preferred seconds and disagreements as dispreferred seconds.

A second system of constraints has already been mentioned: accepting or rejecting prior compliments. In this system, acceptances are generally performed as preferred seconds and rejections as dispreferred seconds.

The two systems, agreement/disagreement and acceptance/rejection, are interrelated. While the subtleties of their interrelatedness will be dealt with subsequently, as an initial statement it can be said that there is an affiliation between the preferences and the dispreferences of each system. That is, agreements are associated with acceptances and disagreements with rejections.

Given the general operation of the agreement/disagreement system and the acceptance/rejection system and the interaction between them, one would expect a high representation of agreements and acceptances as compliment responses. The data, however, run contrary to this expectation. The prevalence of disagreements and rejections is proposed to be an outcome of yet another system of constraints which co-operates along with the other systems on compliment responses. That system involves speakers' minimization of self-praise.

For recipients of compliments, the preferences to (a) agree with

and/or accept compliments and (b) avoid self-praise stand in potential conflict. Various "solutions" to, or "resolutions" of, these conflicting constraints are available to recipients of compliments. The remainder of this paper will be devoted to descriptions of constraint systems which bear on compliment responses, and solution types to conflicting constraints as displayed by compliment responses.

# CONSTRAINT SYSTEMS

Compliment responses are coordinated with the compliments which they follow. One kind of system that links compliment responses with prior compliments is that of chained actions. An action chain may be characterized as a type of organization in which two ordered actions, Action, and Action, are linked such that the performing of A<sub>1</sub> provides the possibility of performance of A<sub>2</sub> as an appropriate next action.<sup>6</sup> A given utterance which is an instance of an Action, in a particular action chain may simultaneously be an instance of a different Action<sub>1</sub>. That is, it may provide the possibility of actualization of one of several specifiable Action<sub>2</sub>s as an appropriate next action.

One action chain for compliments consists of:

A<sub>1</sub>: A compliments B.

A<sub>2</sub>: B accepts/rejects the compliment.

Upon receipt of a compliment  $(A_i)$ , a recipient may perform an acceptance or rejection of it  $(A_2)$ . In this action chain, compliments have the status of "supportive" actions. Supportive actions, including offers, invitations, gifts, praise, and so on, are organized as having acceptances/rejections as relevant next actions.

A second action chain which is relevant for compliments as Action, seconsists of:

A<sub>1</sub>: A compliments B.

А<sub>2</sub>: в agrees/disagrees with the complimentary assertion.

Upon the production of a complimentary assertion  $(A_1)$ , a next speaker may perform an agreement or disagreement with it  $(A_2)$ . In this action chain, compliments have the status of assessments, and as such, they engender recipients' agreements and disagreements.<sup>7</sup>

To reiterate, two sets of Actions which are linked with prior com-

# COMPLIMENT RESPONSES

pliments are acceptance/rejection and agreement/disagreement. The members of the two sets are interrelated, acceptances with agreements and rejections with disagreements. Some features of the relatedness of these members will be explicated in the following sections.

#### Acceptance

Acceptances and rejections are A<sub>2</sub> alternatives subsequent to a number of supportive actions, including the class, compliments. The alternatives, however, are nonequivalent, with acceptances preferred and rejections dispreferred. One basis for the claim of nonequivalency has already been suggested: that the action of accepting compliments is referred to in talk as model behavior and that of rejecting compliments as puzzling, troublesome, symptomatic, and so forth. In addition to being considered nonequivalent, the alternatives are sequentially organized nonequivalently. They inhabit differently shaped turns and sequences and differ in their potential for termination of sequences.\*

The preferred action chain, that is, the chain with a preferred  $A_2$ , for a compliment as a supportive action, is:

A<sub>1</sub>: A compliments B.

B accepts the compliment

The acceptances are regularly accomplished with appreciations. Erving Goffman has elaborated on some aspects of such supportive interchanges:

When a ritual offering occurs, when that is, one individual provides a sign of involvement in and connectedness to another, it behaves the recipient to show that the message has been received, that its import has been appreciated, that the affirmed relationship actually exists as the performer implies, that the performer himself has worth as a person, and finally, that the recipient has an appreciative, grateful nature . . . the giving statement tends to be followed immediately by a show of gratitude.\*

Subsequent to compliments, appreciations regularly take the form of appreciation tokens, for example, "thank you." "thanks," "thank you so much," and "well thank you." A feature of an appreciation token is that it recognizes the status of the prior as a compliment without being semantically fitted to the specifics of that compliment. That is, it does not, itself, contain a focus upon the referent of the compliment. <sup>10</sup>

If an appreciation token is to be performed as an  $\Lambda_2$ , it should be performed in a next turn to a compliment.

3

[KC4:33]

F: That's beautiful It really is (2)

R: Yah

K: Thank you

[HS:S:11]

A:

B:

I got a new shirt.

A:

It's very pretty.

Thank you.

(3)

[SBL:2.2.4.-3]

Well I-I wannid to say I enjoyed your class so (4)
this morning, and too.

B: Well, thank you.

It seems plausible that in doing an appreciation, a recipient recognizes the prior not merely as a compliment, but as that sort of compliment which warrants an acceptance, that is, that should be accepted; that with his acceptance—appreciation, he may be seen to be implicitly agreeing

with the prior compliment.

The evidence for the connectedness between acceptances and agreements is rather stronger than "plausibility."

#### Agreements

Subsequent to assessments, agreements are A<sub>2</sub>s. A major type of agreement in this environment is one achieved with a second assessment. The referent assessed in a prior assessment is again assessed in a current turn such that current speaker's assessment (the second) stands in agreement with prior speaker's. Referent preservation across the pair of assessments is a feature of such agreements. This very prevalent agreement construction is illustrated in the instances below:

:: Y: [CJ:L]	[JK:3] C: G:	[MC:1] B: ^:
She's a fox! Yeh, she's a pretty girl.	She was a nice ladyI liked her I liked her too	Isn't he cute O::h he::s a::dorable
(7)	<b>②</b>	(5)

The affiliation between acceptances of compliments (appreciation tokens) and agreements (second assessments in agreement) is demonstrated by the relative positioning between those components.

Acceptance components may be followed by agreement components within responses to compliments. Those two components may co-occur in recipient's next turn to a compliment; for example.

(JS:II:6) L: E:	[SBL:2.2.43] A: B:
Those'r jus' beautiful. <sub>[</sub> (They're great.) Well- Thank- + It's juh- This is just the right (weight).	Oh it was just beautiful.  Well thank you + Uh I thought it was quite nice
9	<b>®</b>

or in recipient's successive turns within a compliment sequence, e.g.,

⊕ B:	?:	?:	• 97	?:	[SBL:2.2.43]
I thought it did too.	The organ music came out so beauti- fully in it.	And the organ-	Well thank you.	Why it's the loveliest record I ever heard. (1a)	

Acceptance and agreement components, then, may be used in combination and/or as alternatives by recipients within compliment sequences.

#### Rejections

Subsequent to instances of some classes of supportive actions, for example, offers and invitations, rejections often contain appreciation components, including negated appreciations (e.g., "No thanks") and/or accounts for the rejection. The accounts are frequently formed with reference to the occasion at hand, that is, provides something which stands as an explanation of why this particular invitation, offer, etc., at this particular time is not being accepted. A few illustrations of rejections containing both components are presented below:

[SBL:1.1.1014]  B: Uh if you'd care to come over and visit a little while this morning, I'll give you a cup of coffee.  A: Hehh! Well that's awfully sweet of [appreciation]	<ul> <li>[JS:H:142]         <ul> <li>Bill? Whaddiyou drink.</li> <li>( ): Thank you dear</li> <li>[appreciat for account]</li> </ul> </li> </ul>	[BC:1]  JOHN: You wanna sandwich?  DAVE: No thanks,  I ate before I left.  [BC:1]  [offer]  [neg. app
[invitation] [appreciation]	(11) [offer] [appreciation] [account]	(10) [offer] [neg. appreciation] [account]

# COMPLIMENT RESPONSES

I don't think I can make it this morning um, I'm running an ad in the paper and—and uh I have to stay near the phone.

[account]

In contrast to the type of rejection construct above, rejections to compliments are not formed with (negated) appreciations plus account. Rather, the primary way in which compliments are rejected is with disagreements or qualifications of the prior complimentary assertions.

### Disagreements

As illustrations of rejections accomplished with disagreements, the two responses reported in the "Dear Abby" letter will be briefly discussed.

Gee, Hon, you look nice in that dress

Do you really think so? It's just a rag my sister

gave me.

€ ∺

In response to the husband's complimentary assessment, the wife does a second evaluation, that is, her evaluation, which stands in some disagreement with the prior. Disagreement machinery is utilized at least with respect to recipient's selection of a contrastively classed (negative) evaluative term, "just a rag," from the positive one contained in the prior, "nice."

H: (You) did a great job cleaning up the house. (В)
 w: Well I guess you haven't seen the kids' room.

In (B), the wife presents an argument in response to H's compliment. She locates an intended "exception": "The kids' room" is what she proposes invalidates his favorable assessment. As in (A), the wife's response in (B) is a kind of disagreement with the prior positive characterization ("great job").

Some aspects of the interrelatedness between acceptances and agreements, and rejections and disagreements may be summarized as follows: While appreciations and agreements are affiliated components (as evidenced by their co-occurrence in local environments), they are not sequentially interchangeable. Agreements tend to occur less frequently than appreciations and seem to have more restrictive conditions for their

productions. When agreements do co-occur with appreciations, they are proffered routinely after initial appreciations. In short, while appreciations and agreements are interrelated, appreciations over agreements seem to be preferentially selected for accepting compliments.

Rejections are routinely performed with disagreements.

The suggested interrelatedness is shown below:

For acceptances	For rejections
(P) Appreciation tokens	
(S) Agreements	(P) Disagreements

Note: (P) indicates preferential selection. (S) indicates an affiliated though secondary election.

The interactions between acceptances, appreciations, and agreements, and between rejections and disagreements, do not account for the prevalence of rejections and disagreements and the relative infrequency of acceptances, particularly of agreements, which occur subsequent to compliments. That configuration is an artifact of a co-operating constraint system which stands in opposition to the satisfaction of acceptances and agreements.

### Self-Praise Avoidance

When a recipient agrees with a prior compliment, he affiliates his position with the prior asserted position; when he disagrees, he disaffiliates his position from that of the prior. Subsequent to compliments, agreements/disagreements which retain prior referents are agreements/disagreements with praise of self.

- V: Praise of B
- : Agreement/disagreement with praise of self

There is a system of constraints governing how parties may credit or praise themselves. Self-praise avoidance names a system of constraints which is enforceable by self and/or other, in that order. Some illustrative materials of enforcements by self and by other are presented below.

If self-praise is performed by a speaker, that is, if a speaker does not enforce upon himself self-praise avoidance, a recipient may in next turn make notice of the violation and enforce the constraints. One way is with critical assessments of the self-praiser:

# COMPLIMENT RESPONSES

[GTS:2:17]

[v.D.II] [HS:S] s 77 8 ? ₩. > <u>></u> ∴ "M(hh)odesty is o(hh)ne of my gr(h)catest vircoming into my bedroom. loved t'hear my voice ( t(hh)ues"hhh Richie.=I-I knew I (was hearing) your voice No. Humble, I'm not. They wouldn't say that you were humble bright, witty, interesting person I was. to the funeral and say what an intelligent. Sure. I have a lot of friends who would come you. You would know who cared. mature talents compared to our meager con-Just think of how many people would miss (14) tents of our minds. Y'see folks, he is very vain, an' he realizes his heard that on my radio that night ..Y'see I'm so terrific Could you have taped that?=I'd've (ES) (£

While self-praise may not be publicly noticed on any given occasion, it is, nonetheless, a class of action which is noticeable and collectible with the possibility, on a subsequent occasion, of being turned into a complaint, a gossip item, an unfavorable character assessment, and so on.

[W.I]

They got this one girl that they're always talk- (16) ing about you know

They probably say she thinks she's cute she's this, she's that

7

Self-praise constraints may be enforced by the speaker, himself, in a variety of ways. One way is to incorporate a disclaimer within self-praising talk, e.g.,

:::

[BC:HI:28] ₹

[S.2]

or qualification, e.g.,

argument then.

brag but see he sorta like backed outta the So he- so then, at this- y'see, -- I don' like to

(= 7

ș ș ≒	»: (AA:2.1)	₹ >. ₹	• > B:	•	> B:	v:
did Rourke	holding down a full time job here	Right.  Bu-like Janet Brown, she's goin' to school in  Northridge.  Oh:	the job = Umhum. =[[ don't misunderstand me.	they've, you know—that we've got at the store I've gone through throughly uhh and I don't figure anybody clse can do it only— not from the standpoint that they can' handle	around trying to think of somebody who's you know can manage it.  Yeah. You'd be perfect.  Well you know as far as I'm concerned I would have the fact that everybody else that	better than he is! Well maybe I shouldn't say that.  I wonder if they're, you know, lurking
3	20)					(19)

# COMPLIMENT RESPONSES

ever ha:d. Thet I w'z the most fantastic employee he

Well. Not exactly.

s s He jus' tol' Jim en I thet (we're getting a raise

others are properly credited. For example, announcements of acthat coconversationalists display with respect to each other is to see that ally satisfied with coparticipant praise activities. That is, an orientation constraints on self-praise are collaboratively oriented to and interactioncomplishments are often initiated by one in behalf of another present: ers by self and other. It also bears on how crediting is done. The The system of self-praise avoidance is not limited to violation mark-

#### [v.D.II]

77. pages. You should see this paper she wrote. - Eighty (21)

(1.0)

I have to brag about you Dotty.

₽.

Thank you. Quite a masterpicce

₽ ₹

[JS:11:142]

<u>... ..</u> (T) He di::d you mean the embroidery? Ye ∷s Jo- this's, this's one Jon made, (22)

) so ta:lented::d.

Z

pant's praise of other-than-self. Credit may also be shifted to coparticipant subsequent to that copartici-

### [SBL:1.1.12.-27]

worked this out for me.
Uh huh, Uh huh, Well, (it's true)-You mean-. I really think it was the C.F.O. Camp that (23)

you were inspired there.

?

>

[SBL:1.1.12.-35]
A: So, they'll be nice to have in the house there, (24)
B: Mm hm,
[
A: I won't- I won't worry about things.
R: No,

Uh and I think it's- and Bea, you know, well, I think it's awfully nice of you to r-rent to a family with children.

Subsequent to compliments, the preferences of (a) avoiding self-praise and (b) accepting and agreeing with the compliment are at odds with one another. If a compliment were to be agreed with, a recipient would be, at the same time, praising himself. And since agreements and acceptances are co-implicated, the satisfaction of the acceptance preference is in potential conflict with the satisfaction of self-praise avoidance.

Instances of actual compliment responses display a sensitivity to these potentially incompatible sets of constraints. An analysis of compliment responses suggests that they may be seen as types of "solutions" to the multiple preferences described above.

### SOLUTION TYPES

### Praise Downgrades

One solution type includes responses which display a sensitivity to, and partial satisfaction of, the two conflicting preferences: to accept/agree

with prior compliment and to avoid self-praise. These responses exhibit features of both agreements and disagreements, that is, the agreements display some features of disagreements and vice versa.

An apparatus which allows for the "in between-ness" of these responses is one that includes ordered agreement and disagreement forms.

One type of agreement, an upgrade, can be called "optimal" on sequential grounds. "Upgrades are prevalent in environments in which agreements are preferred; they occur in agreement turns and sequences and typically not in combination with disagreements. Upgrading techniques include the incorporation of stronger second evaluation terms, for example,

[JS:II:28]

J: T's- tsuh beautiful day out isn't it? (26)

L: Yeh it's just gorgeous . . .

[MC:1]

A: Isn't he cute

B: O::h he::s a::dorable

and added intensifiers, for example:

[SBL:2.1.8.-5]

3

She seems like a nice little lady

(85)

Awfully nice little person.

[JS:1.11]

E: Hal couldn' get over what a good buy that (29) was, (Jon),

Yeah

J: Yeah

That's a r- a rerry good buy.

The counterpart of an upgrade as optimal agreement is a contrastive opposite as optimal disagreement. Contrastive opposites are produced in environments in which disagreements are preferred, for example, subsequent to self-depreciations; they occur in disagreement turns and sequences and typically not in combination with agreements. The following instances are of that type: They have contrastively classed evaluative terms relative to the priors, that is, negative, critical evaluations are followed by positive, complimentary ones.

[SB1::2.1.8.-8]

I was wondering if I'd ruined yer- week- (32)
end by uh

end by uh

No. No. Hm-mh. No. I just loved to
have-...

know he's that way.

Subsequent to compliments, second assessments are regularly neither upgraded agreements nor contrastive opposites.

#### Agreements

Recipients of praise sometimes agree with the prior praise-assessment. When agreements are performed in this environment, they have a characteristic form. They are second assessments which are systematically altered relative to the prior assessments, containing scaled-down, or more moderate praise terms than the priors.

In the following sequences, praise profferer (P), incorporates strong-positive evaluative terms; praise recipient (R), responds with scaled-down agreements containing more moderate-positive terms.

[SBL:2.2.43] (P) ↑ ∧: •(R) ↓ B:	[AP:fn] B: (P) † G: •(R) ↓ B:
Oh it was just beautiful. Well thank you uh I thought it was quite nice.	I've been offered a full scholarship at Berke- (33) ley and at UCLA That's fantastic Isn't that good
(8a)	(33)

	a- it's a good deal,	
	Yeah <i>it</i> is, it's	(R) ↓ D:
	W'I my God it sounds marvelou's Don.	(P) ↑c:
	So it's a pretty good setup vihknow	ק
	=Yeah?	C
3	jo:b 'n=	
2	I'm the boys Dean out there, so I gotta new 134	D:
		JB:II.I4]

Scaled-down agreements exhibit features of both agreements and disagreements.

Their format is that of agreement turns: They frequently have initially positioned agreement tokens (Example 34) or appreciations (Example 8a). Although scaled down relative to the priors, they are, nonetheless, similarly classed, that is, likewise positive evaluations. As such, they may be treated by coparticipants as agreements with prior compliments. In the following fragments, praise profferers respond to praise recipients' scale-downs with subsequent agreements:

[KC4:35] F: ↓·K: • R:	[KC4:10] F: ↓ k: • F:	[SBL:2.2.43] A:
That is beautiful  f  'n that nice Yah. It really is	That's beautiful Is'n it pretty Yea::h	Oh it was just <i>beau</i> tiful. Well <i>thank</i> you Uh I thought it was quite nice, A nd uh Mm hm.
(2h)	(35)	e (8h)

Although scale-downs are formated within agreement turns, they may display some sequential features of disagreements. In crediting activities, the respective parties, (P) and (R), have systematically discrepant positions.

Activities in which praise is proffered, including compliments, ap-

::•<sup>\*</sup>

performed with strong-positive descriptors. 12 positive evaluative terms. That is, crediting actions are preferentially preciations, congratulations, and so on, overwhelmingly contain strong-

constraints. 13 Praising self with strong-positive descriptors has a violative Recipients of praise are subject to self-praise avoidance, or modesty

strong-positive terms and recipient moderate-positive. A sensitivity to tions of descriptors that they preferentially draw from: profferer selecting terms with ones he endorses. ing the prior evaluative terms as his own, a speaker replaces the prior that discrepancy may be displayed by the coparticipants. To avoid affirm-Within praise sequences, the respective parties have different collec-

praise reaffirmation with a strong-positive term ( † ). moderate-positive term (1) which may then be replaced in profferer's A profferer's strong-positive term (†) may be replaced by recipient's Praise sequences, then, may contain the party variants in alternation.

	Yeh I think she's a pretty girl.	<u>.</u>
	Oh she's a beautiful girl.	<b>→</b>
	Yeh isn't she pretty.	← 3.
(36)	That Pat. Isn't she a doll::	<b>→</b> :::
	M's friend.	The referent is M's friend.
		[NB:VII2]
	Oh, she's gorgeous!	<b>→ &gt;</b> :
	Ych, she's a pretty girl.	<b>←</b> <del></del>
(7a)	She's a fox!	<b>→</b> >:
<b>i</b>	The referent is this new bride	The referent is
		[GJ:fn]
	That's marvelous	<del>→</del>
	Isn't that good	<b>.</b> В:
	That's fantastic	<b>→</b> G:
	ley and at UCLA	
at Berke- (33a)	I've been offered a full scholarship at Berke- (33a)	.₩
		[71.17]

engender successive reassertions of the parties' respective positions. replacements of the evaluation terms constitute discrepancies which may namely, second praises with more moderate terms. On the other hand, the and disagreements. (In the one hand they are formed as agreements, Scaled-down agreements, then, exhibit features of both agreements

Productions of scaled-down agreements seem to be subject to the

credit. ("you"), namely, referents through which coparticipants are accorded objects, persons, activities, and so on, other than coparticipant directly engender scaled-down agreements contain reference terms which locate following restriction: They do not normatively occur subsequent to compliments which *directly* praise coparticipant. (Compliments which may

ments containing reference formulations consisting of other-than-you terms, for example, "it," "that," "she," "he." The scaled-down agreements retain the other-than-me referents: In general, scaled-down agreements occur subsequent to compli-

# [AP:fn] I've been offered a full scholarship at

**⊞** C Isn't that good That's fantastic

Berkeley and at UCLA

The referent is a performance for which B is responsible

Oh it was just beautiful. **6**00

Well thank you uh I thought it was quite nice.

#### [KC4:10]

The referent is a blanket that **k** is weaving. ٦ <u>~</u> Is'n it pretty That's beautiful (35%)

#### [GJ:fn]

The referent is L's new bride. > She's a fox! Yeh, she's a pretty girl. (<del>Z</del>

#### [NB:VII.-2]

The referent is M's friend.

Yeh isn't she pretty, That Pat. Isn't she a doll:: (36a)

ments are to occur. The agreements, however, are scaled down, the erents which are isolable as external to recipients, the more likely agreeindirectly recipients are credited, that is, with compliments locating refrelative to the directness with which the recipients are praised. The more Members of compliment response classes, then, are selected in part

scale-down reflecting the constraints imposed by indirect praise of recip-

### Disagreements

ments. They may disagree by proposing that the creditings within the prior compliments are overdone, exaggerated, etc., and counterpropose that lesser amounts of credit are justified: Recipients of compliments frequently disagree with prior compli-

```
[NB:5]
                                                                                                                                                                                                                                                                                                                     [SBL:2.6.-7]
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          [JG:3C:6]
                                                                                 [BS:2.1.192]
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        The referent is an athletic award R has received.
                                                                                                                                               . হ
# 5 # 5 #
                                                                                                                                                                                                                                   >
                                                                                                                                                                                                                                                                                                                                                                                                    ∴ ≅
                                                                                                                                                                                                                                                                                                                                                                                                                                                                      ₽.
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                                                                                                                                                                                                                                                                                                                                                                                                                                                           ::
                                                                                                                   >
                                                                                                                                                                                                                                                                                                                                                                                                                          Well?
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        Well we'll haftuh frame that.
                                                                                                                                                                                                                                                                                                                                                                                                                                                        W'sure it is.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                        Yee- Uhghh it's not worth fra(hh)mi(h)ng.
                                                                                                                                                                                                              too good, . . .
                                                                                                                                                                                                                                                                                                   By the way I loved yer Christmas card,
                                                                                                                                                                                                                                                                                                                                                                                                       You'll have a whole wall of framings.
            =-tuh sa(hh)vc ((sniff))
                               =Oh yer so nice=
                                              I(hh)t's not mu(hh)ch- ((sniff))=
                                                                                                                                            Uhh hmhh uhh hmhh well, not that much
                                                                                                                                                                                                                               I hadda hard time, but I didn't think they were
                                                                                                                                                                                                                                                                                                                                                                      Well I think it's very important=En I'm very
                                                                                                                                                                                                                                                                                                                                                                                    Tch! No. it's not really impo:rtnt.
Yer so nice.
                                                                AH:: you saved me some!
                                                                                                                                                             .you've lost suh much weight
                                                                                                                  Aaghh Haghh Haghh!
                                                                                                                                                                                                                                                                                                    (38)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         (37)
                                                                                                                                                                (39)
                                                                  (40)
```

COMPLIMENT RESPONSES

[JS:II:9]

You brou:ght. -like a Just a few little (thi::ngs.) TON ટ things. (41)

together negate or deny prior assertions but rather downgrade the prior terms. In proposing diminutions of credit, recipients generally do not al-

[JS:II:9] "Just a few little (thi::ngs,) [BS:2.1.192] "I(hh)t's not mu(hh)ch- . . [NB:5] "..not that much" . -tuh sa(hh)vc . (40a) (41a) (39a)

"though," "yet," and "but." terassertions. Disagreement markers used with such qualifications include ifications of the prior compliments rather than directly contrastive coun-Disagreements as seconds to compliments are frequently marked as qual-

<b>₹</b>	Γ.	<b>W</b> :		Ε:	[MC:137]		• K:	ï.	<b>9</b> .	?	<b>&gt;</b>	::	Γ:	[JS:II:152]	• 55	<b>&gt;</b> :	[AP:FN]
ways. No, No,	Yeh-you're not frustrated in six different	=Oh yah.	the upper hand as you go along.=	-and yerlogical reasoningis taking (44)		( ) though.	It isn't a very good	Oh that does look pretty with the ( )	( ).	. A GOT BILOTT.	/ don'know	Yeah.	(Lookit how pretty that looks.)		Not very solid though	Good shot	
				(44)									(43)			(42)	

Although these compliment responses are not contrastive opposites but are rather diminutions and qualifications of prior praises, they nonetheless are treated as disagreements. Subsequent to such disagreements, praise profferers may challenge or disagree with the diminutions and qualifications and reassert praise:

(38a)	By the way I loved yer Christmas card.	[SBL:3.67] B:
qu	few little (thi::ngs.)  Oh (are you kidding?) (God ) (Filled up the ).	•
	Just a	ET
) (41b)	You brow:ghtlike a ton of things. (	[JS:H:9]
	Not very solid (though) Ya' get any more solid, you'll be terrific	• > #
(42a)	Good shot	[AP:FN] ^:
		4

To reiterate, disagreements as seconds to compliments display features which are similar to agreements as compliment responses. A large set of both agreements and disagreements are scale-downs of the prior praise accorded to recipients. Negotiations which occur within these compliment sequences follow predictable directions: Recipients downgrade prior praise, and profferers upgrade the prior downgrades.

Praise downgrades represent one type of solution to the incompatible preferences operating on compliment responses—that of "compromise." With downgrades, the referent of the prior is preserved, but the praise is neither totally agreed with (i.e., it is responsive to self-praise avoidance) nor totally disagreed with (i.e., it is also responsive to acceptance/ agreement preferences).

Agreement and disagreement downgrades are responses which partially satisfy each of the conflicting preferences.

### Referent Shifts

A second solution type of compliment responses works on the principle of referent shifts. In a compliment  $(A_1)$  a recipient is praised either directly or indirectly; in this type of response, the recipient performs a subsequent praise  $(A_2)$  which has other-than-self as referent.

A<sub>1</sub>: A praises B.
A<sub>2</sub>: B praises other-than-self.

Two kinds of referent shifts, differentiated with respect to constructional and sequential features, are discussed below.

## Reassignment of Praise.

In responding to a compliment, a recipient may reassign the praise, shifting the credit from himself to an other-than-self referent, for example, an object. The following sequence contains one such typical credit shift:

[WC:YCC.-4]

You're a good rower, Honey.

These are very easy to row. Very light.

In R's compliment, J is praised as "a good rower." In J's second to the compliment, the type of boat is praised as "very easy to row. Very light." In response to the compliment, J forms an assessment in which the referent being praised is shifted from himself to other-than-himself, namely, the boat.

When the placement of credit is negotiated within compliment sequences, the amount of credit, per se, is not generally simultaneously negotiated. Routinely, if a second has a shift in the agent being credited, it does not have downgraded evaluational terms. Descriptors in seconds are topically selected relative to the shifts and usually are at least as strong as the prior descriptors.

[WS:YMC.-4]

You're a good rower, Honey.
These are very easy to row. Very light.

The praise within the compliment is accomplished with the phrase, "a good rower, Honey." In the compliment response, the praise terms, "very easy" and "very light," are co-selected for the type of boat. Whereas "easy" and "light" are positive descriptors, they are nonetheless upgraded with the intensifier "very."

Negotiations over the placement of credit are structured relative to the sets of constraints operant on the respective parties. Profferers of credit accord credit to coparticipants. Recipients of credit shift credit away from themselves. These positions are particularly visible in the following materials.

In (2c) below, F credits k by focusing on k as the weaver of the blanket which is being admired:

[KC4:31]

F: ... What ayou making?

K: It's a blanket

(2c)

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F: Did yu weave that yourself

[
K: I wove this myself
D: She wove all of this herself

D: She wove all of this herself

Ya kidding

Later in the sequence, F admires the blanket with a positive assessment, k responds to the positive assessment first with a scaled down agreement, and then in her next turn with credit shift (←) away from herself as weaver:

A shift in focus from recipient, within the compliment, to "it" or other-than-self, within the response, may function like a disagreement. The profferer's credit of the recipient and the recipient's focusing of the credit away from self to "it" may be oriented to as a discrepant position, with the reinstitution of respective positions in alternation across a series of turns. In the following sequences, (-) marks turns in which coparticipants are credited; (-) marks turns in which credit is focused away from self.

[BC:IV:27]

> ₩ ₽. **↑** ₩ > 8 .. I love the way you do that commercial. ehh! (48) saturation campaign so they- it's on all day. enough, have yuh tried the stuff? Well, you know, It's uh:::v- uh::: o-oddly hhhh! It's original ehhh! hhh you know, eh I- it- it's on a prob'ly onna again, it's a pleasure when you do it. ehh! heh An', after yuh hear it o:ver an' over an' over beautiful bread. No I have never tried it. Imean to. For shame. It is

Credit shifts as a solution type are responsive to the two sets of constraints discussed earlier. The focusing of praise away from self in the compliment response displays a sensitivity to self-praise avoidance. The compliment response consisting of a second praise (albeit refocused) is partially supportive of, that is, a partial warrant for or legitimization of, the prior praise.

#### Return

A second kind of referent shift are those within return compliments.

Recall that a general procedure used in second assessment agreements is that of referent retention. A second speaker refers to the same referent as has the prior speaker within an assessment which stands in agreement with the prior assessment. Referent retention is one kind of interturn linking system for topical, sequential units.

Within returns, a slightly different linking system is employed: Rather than retaining same referent, a second speaker preserves the relationship of referent to speaker across the turns. That is, if the referent of prior speaker's talk is his coparticipant, namely, "you," a next speaker may refer to his coparticipant, namely, "you."

The action sequence for return compliments is:

A<sub>1</sub>: A compliments B.
A<sub>2</sub>: B compliments A.

A recipient of a compliment may proffer a return compliment—a compliment which is "similar" to the prior compliment. Returns use an agreement construct. In contrast to the prior credit shifts (from "you" to "it") that are typically viewed as disagreements (i.e., as recipients' creditations of "it not me"), returns are constructed as agreements (i.e., "and you too").

[NB:I.12]	[MC]
E:	c:
• G:	b:
Yer lookin good,	Ya' sound (justiz) real nice
Great. So'r you.	Yeah you soun' real good too
(52)	(51)

9

[JG.-7] 3 to you honey . . . Well anyway nice talking to you ( (53)

[NB:VII.-4] 3 w'z so tickled thetche di:d, there that day, 'n meet the--crowd, 'n, . Ih w'z jis'--delightful tuh come down

[NB:VII.-13] ? 3 > 3 =-jist loved havin' you come up Agnes . . . time the other day ih w'z= ... en, gee I sure hadda nice Oh:well:I-= (55)

completion points for sequences. Return compliments regularly terminate praise sequences. Compliment returns, like other agreement constructs, provide possible

agreement is performed which simultaneously satisfies the constraint of self-praise avoidance. As a solution type, returns offer a procedure through which a kind of

### CONCLUSION

self-praise avoidance. and so on, prior compliments. A second constraint system is that of supportive Action2s, that is, responses which legitimize, ratify, affirm, operation of multiple constraint systems. One preference system is that of The productions of compliment responses are sensitive to the co-

into two broad groups: evaluation shifts and referent shifts. With reference to the prior compliments, compliment responses fall

evaluative descriptors which are less positive than the prior. Referen ients praise the same referents as are praised in the priors, incorporating Evaluation shifts take the form of praise downgrades, where recip-

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subsequent praises of other-than-self referents. shifts include compliment responses in which recipients of praise proffer

down (↓) and/or refocused away from recipient (←). and self-praise avoidance. In praise sequences, praise may be scaled The two kinds of shifts reflect the co-operation of supportive seconds

[KC4:35]

(54)

₹. It wove itself. Once it was set up= Yah. It really is That is beautiful 'N that nice

(2c)

ment responses tend to collect in particular sequential environments. Although not cleanly differentiated, the various classes of compli-

example, in an opening: Returns are frequent in openings and closings of interactions, for

[NB:I.1.-2]

<u>ດ ຕ</u> Great. So't you. Yer lookin good

(52a)

in a closing:

[JG.-7]

Well anyway nice talking to you ( Nice talkin to

you honey . .

praiseworthy referents to those that occur in first compliments.15 part dependent upon the availability to second parties of complement Returns are a kind of reciprocity response. The producing of returns is in

is a blanket K is weaving. referents to the referents praised by first parties. They proffer appreciathe following excerpts, second parties do not have available complement parties are asymmetrically related to the referents of the compliments. In tions as seconds. In (2e) and (54a) below, the referent of F's compliments Appreciations are prevalent as responses to compliments when the

[KC4:33]

ij

That's beautiful It really is

(2f)

Thank you

<u>⊼</u> .₹

beautiful though, really beautiful

(54a)

for which B was responsible. In (4a) and (1a) below, the referent of a's compliments is a performance

[SB1.:2.2.4.-3]

this morning, and too. Well I-1 wannid to say I enjoyed your class so (4a)

Well, thank you

3

[SBL::2.2.4.-3]

Why And the organit's the loveliest record I ever (1b)

Well thank you.

her. B did not send a one In (38h) below, the referent of B's compliment is a Christmas card a sent

[SBL::3.6.-7]

By the way I loved yer Christmas card,

(38b)

Oh:: Thank y-

?

Thank you so much.

priority positioning over agreements and disagreements: other-than-you references incorporated. Appreciation tokens show a Praise downgrades are prevalent subsequent to compliments with

[SBL:2.2.4.-3]

Oh it was just beautiful.

(<u>8</u>

Well thank you Uh I thought it was quite nice

[SBL::3.6.-7]

Oh:: thank y− By the way I loved yer Christmas card, (38c)

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₽. Yers was jus' (

? > 33 Oh::: Thank you

they were too good, but----finally, I hadda hard time, but I didn't think

lovely. I thought they= Uh huh, Thanks.

(Those) were

Ξ.

=were lovely.

> # >

-finally hadtuh settle on something . . .

1 The Los Angeles Times, 28 Dec. 1975, Part VIII, p. 7.

include proffered solutions. problem with, as yet, no adequate solution. The printed responses, in such cases, typically for writing such letters often involves the posing of a problem that the letter-writer has, a well fitted to the format of such letters as "Dear Abby" and "Dear Ann Landers." A warrant The structuring of the description of her behavior as his problem is a design which is

One such group are those who practice co-counseling. "exercises" in which participants practice accepting compliments and agreeing with them 3 It has been brought to my attention that therapy-oriented groups have developed

dispreferred seconds, see Pomerantz (1975, Chap. 111). first items within turns. For a more complete discussion of some features of preferred and compliment responses, when acceptances and appreciations appear, they typically occur as display. One feature of preferred seconds is their occurrence in initial positions of turns. In There are a host of sequential features which preferred and dispreferred seconds

Pomerantz (1975, Chap. V). interaction of preference systems. In particular, see Sacks and Schegloff (1978), and \* This piece of work on multiple preferences is an extension of previous work on the

of features of the organization of adjacency pairs. An essential difference between adjacency-pair and action-chain organization, in the opinion of this author, lies in the "adjacency pairs" in many of its features. See Schegloff and Sacks (1971) for a description The kind of organization which is referred to by "action chains" is not unlike that of

A basic rule of adjacency pair operation is: given the recognizable production of a first pair part, on its first possible completion its speaker should stop and a next speaker should start and produce a second pair part from the pair type the first is recognizably a member of [Schegloff & Sacks, 1973, p. 296; emphasis added].

pair-part is conditionally relevant. That is, if a recognizable first pair-part is produced, then upon that production its second

appropriate Action2s may be available subsequent to a given prior, initial evidence suggests or another first action which is linked with that given second. Although some number of that those alternative options are themselves preferentially ordered relative to each other Pomerantz (1975, Chap. V). In part, it is in the performing of the second that a prior becomes treated (formulated) as one is not a should but a may for recipient, that is, an option among several specifiable options. With "action chains," what is being proposed is that an Action2, or "second pair-part"

<sup>9</sup> (ioffman (1971.pp. 63-64 and ('hap. 3).

positive assessments, and so on. That is, in addition to the giving being an object for gift, appreciation may be shown by displaying the gift, admiring the gift with strongly strongly positive assessments of same referent as is located in the prior. compliments, appreciations are routinely done with appreciation tokens and not with appreciation, what is given may also serve as a referent for appreciations. Subsequent to 16 There are a range of ways of showing appreciation. For example, upon receiving a

Pomerantz (1975, Chap. V). "For a more detailed discus ion of some sequential features of "optimals," see

<sup>12</sup> Examples of creditings performed with strong-positive descriptors include:

[BC:IV.27]

I love the way you do that commercial, eithh!

ŝ

[SBL::2.2.4.-4]

The referent is a record for which the recipient is respon-3

.. Oh it was wonderful, I would LOVE tuh have one.

BC:IV.-91

>

[AP:fn]

And I'll miss you very very much

That's fantastic The referent is a scholarship recipient has been offered.

tokens and incompletions. 13 "Modesty" is an achievement subsequent to compliments, with, for example, laugh (33c)

[SBL: 1, 10, 11] [NB:VII.4] ... 3 7 3 7 Well, I don't kno(hh)w heh . . . Well, they're lucky to have you ((falsetto)) No, no no Thhh Marjie I-I marvel atche really. Eh you fascinate me, hh ho ho hh I've never seen a gal: like you. E(h)cdna, uh! I mean it.

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[BC:IV:-27]

love the way you do that commercial, chih!

(48a)

hhhh! It's original ehhh!

Well, you know, It's uh:::v-uh::: . . .

references) is included below: " A sample of compliments in which coparticipants are directly praised (with "you" as

[WS:YMC.-4]

You're a good rower, Honey (47h)

[151:11]

3

talked to many over here. by the way. You're about the most intelligent person by the way. You're about the most intelligent 'n- thet I've talked to and I've (SO<sub>21</sub>)

[NB:VII.4] (T)

'hhh Marjie I-I marvel atche really. Eh you fascinate me, hh ho ho hh (59:1)

I've never seen a gal: like you

[BC:IV:-27]

Į. ζ

... I love the way you do that commercial, chhh! (48h)

[BC:IV.-69]

And I'll miss you very much.

3

[GTS:1.66]

(8)

cumstances. 18 There are instances in which returns are modified and adjusted to asymmetric cir-I like you now.

will be temporarily on leave in order to go into the hospital. The caller (B) initiates the following compliment sequence: The following datum is taken from a two-way call in a radio show. The moderator (A)

[BC:IV.-691

(<del>§</del>3)

nuch ich is kinda si h we all <i>like</i> y	8: And, I'll miss you very very much ((pause)) A: Thank you. A: I'm gonna miss it here too which is kinda strange.	<ul> <li>I'm gonna miss it here too which is kinda st</li> <li>N:no it isn't.</li> </ul>	.: A man-	B: (Because you know) how much we all like you.	I think a man is treat that	t man a man is very meny,
---	--	--	-----------	---	-----------------------------	---------------------------

A's initial compliment response is an appreciation ("Thank you."). The appreciation is

3

positioned in next turn to compliment. Next turn to compliment is an environment in which returns alternatively occur. That is, the "thank you" is positioned in a place where a return reciprocal compliment, for example, "I'll miss you too" typically would occur if it were to be proffered. The parties, however, are not symmetrically related: Whereas a caller "knows" a moderator by name, personality, and so on, the moderator frequently finds himself talking to an anonymous caller. In such circumstances, returns, which are subject to consistency constraints, are generally unavailable as seconds.

Even though a "thank you" may suffice as A's second to B's compliment, A continues his turn with a return which is adjusted to their asymmetric circumstances. In the first compliment, B is the referent: "And I'll miss you very very much"; in the return there is a referent replacement: "I'm gonna miss it here too..."

The fact that returns tend to be performed whenever they can be, and that adjustments are made so as to make them performable when they might otherwise be unavailable, is consistent with the previous observation that they simultaneously satisfy two constraint systems which are not simultaneously satisfied within other classes of responses discussed.

### chapter 4

# On the Achievement of a Series of Stories

ALAN L. RYAVE

A rather commonplace conversational activity is telling stories and listening to stories. On occasion a story appears as an isolated instance surrounded by a variety of possible conversational structures, but more frequently it seems to be that stories are manifested in clusters of two or more.

In the data principally to be focused upon here and in each case presented, there occurs a cluster of two stories and related story commentary, told at separate times by two conversational participants. For example, in the first instance presented below, Peter tells a story about a dangerously close call he experiences at a fairgrounds, and then Gordon follows with a story dealing with the possible prevention of trouble in amusement park rides in Long Beach. And, observe in the second instance, a presents a story dealing with the fact that an accident was witnessed which evidently was not subsequently reported on by the media, whereupon, B tells a story of a witnessed event that received the same fate. These instances, and two others, are presented immediately below, and the reader is encouraged to examine them carefully before